Reset Form

## CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Mark R. Klaus		Regional Center: Sa	Regional Center: San Diego	
Regional Center Position/Title:	☐ Governing Boa	ard Member	Executive Director	
	Vendor Adviso	ry Committee sitting on Board	☐ Employee	
	☐ Contractor	☐ Agent	☐ Consultant	
Reporting Status:	Annual	☐ New Appointment (date):		
	☐ Change of Stat	rus <sup>1</sup>		
If a change in status, date and	d circumstance of cl	hange in status:		

Executive Director. Responsible for all day to day operations, staffing, compliance and community outreach.

1. Please list your job title and describe your job duties at the regional center.

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center produced yes on a normal normal normal center consumers. If the provider or contractor is a state or local governmental entity and describe your job of governmental entity.	ervices it provides for the ocal governmental entity,
	My daughter is employed by Home of Guiding Hands as the AFHA Manager. Home of Guid variety of services and supports to approximatley 4,000 SDRC clients annually in Residential Homes), Respite, Transportation, Early Start, Early Start Therapies, IDA's, Life Planning, Inc. Family Home, Tailored Day and other programs. The HGH AFHA Program supports approximatividuals.	al (CCL and ICF dependent Living, Adult
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  very yes  no If yes, provide the name of the entity or organization, or provides for the regional center or regional center consumers, and describe your or your finterest.	describe what services it
	My daughter is employed by Home of Guiding Hands as the AFHA Program Guiding Hands provides a variety of services and supports to SDRC includin and ICF Homes), Respite, Transportation, Early Start, Early Start Therapies, Planning, Independent Living, Adult Family Home, Tailored Day and others.	g Residential (CCL
4.	Are you a regional center advisory committee board member?	to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center b purchasing services from a regional center provider; or (c) do you vote on matters where you interest?	oard; (b) do you vote on

☐ Governing Board Member☐ Vendor Advisory on Board

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee,

or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other	,
6.	Do any of the decisions you make when performing your job duties with the regional certifinancially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not hat question if the financial benefit would be available to regional center consumers or their factors are separated as a part of the financial benefit would be available to regional center consumers or their factors are separated as a part of the financial benefit would be available to regional center consumers or their factors.	ve to answer "yes	
7.	Are you responsible for negotiating, making, <sup>5</sup> executing or approving contracts on behavener?  yes  no If yes, please explain.  As Executive Director, that would be one of responsibilities outlined in my job description and of Directors.		
8.		If yes, did you ne If yes, please exp	10-170 m
9.	Do any of your family members have a financial interest in any contract with the regional configuration of the regional contract on behalf of the regional configuration.	enter?	

☐ Governing Board Member ☐ Vendor Advisory on Board ☑ Executive Director

the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☑ Executive Director ☐ Employee/Other			
10.	Do you evaluate employment applications or contract bids that are submitted by your fami	ly member(s)?			
	☐ yes ☐ no If yes, please explain.				
	I have not, would not and abstain.				
11.	Your job duties require you to act in the best interests of the regional center and regional chave any circumstances or other financial interests not already discussed above that would in the best interests of the regional center or its consumers?	d prevent you from acting			
В.	ATTESTATION				
_	lark R. Klaus (print name) HEREBY CONFIRM that I have r				
	ional center's Conflict of Interest Policy and that my responses to the questions in this Con tement are complete, true, and correct to the best of my information and belief. I agree to				
	information that might indicate that this statement is not accurate or that I have not co				
	ter's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the reg				
	vidual immediately. I understand that knowingly providing false information on this Con-				
	tement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000)	) pursuant to Welfare and			
Inst	itutions Code section 4626				
	Signature Date Date	.023			
	INTERNAL USE ONLY				
	Date this Statement was received by Reviewer:				
	The reporting individual does does not have a present potential conflict of interest				
	Signature of Designated Reviewer Date Revi	ew Completed			
		2/23			
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☐ Governing Board Member☐ Vendor Advisory on Board